



U.S. PATENT AND TRADEMARK OFFICE
FEB 20 2002
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,855	11/15/2001	Agapios Kyriacos Agapiou	2000U055.US

25959
UNIVATION TECHNOLOGIES LLC
5555 SAN FELIPE SUITE 1950
HOUSTON, TX 77056

CONFIRMATION NO. 6866
FORMALITIES LETTER



OC000000007369293

Date Mailed: 01/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

ZMOGUS

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 SMINASS1 00000092 500589 09998855

01 FC:105 130.00 CH



#3

8sector

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/998,855
		Filing Date	November 15, 2001
		First Named Inventor	Agapios K. Agapiou
		Group Art Unit	1755
		Examiner Name	Unassigned
Total Number of Pages in This Submission	5	Attorney Docket Number	2000U055.US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Preliminary Amendment / Response <div style="margin-left: 20px;"> <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) </div> <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Part/ Incomplete Application <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> Response to Missing Parts </div>	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="margin-left: 20px;"> <u>Declaration</u> <u>Return Postcard</u> </div>
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REMARKS

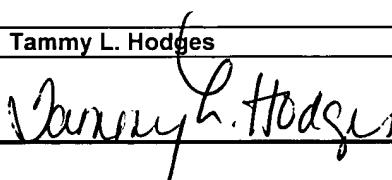
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Osborne K. McKinney	Registration No.	40,084
Signature			
Date	February 13, 2002		

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on this date:

February 13, 2002

Typed or printed name	Tammy L. Hodges		
Signature		Date	February 13, 2002

U.S. PATENT & TRADEMARK OFFICE
FEES TRANSMITTAL

FEB 20 2002

Note: Effective November 10, 1998.
 Patent fees are subject to annual revision.

Total Amount of Payment (\$ 130.00)

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

50-0589

Deposit Account Number:

Deposit Account Name: Univation Technologies, LLC

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee Paid
Fee Code	Fee \$	
101	740 Utility filing fee	
106	330 Design filing fee	
107	510 Plant filing fee	
108	740 Reissue filing fee	
114	160 Provisional filing fee	
SUBTOTAL (1)		.00

2. CLAIMS Extra Fee from below Fee Paid

Total Claims - 20 = x 18 = .00

Independent Claims - 3 = x 84 = .00

Multiple Dependent Claims 0 x 280.00 = .00

Large Entity

Fee Code **Fee\$** **Fee Description**

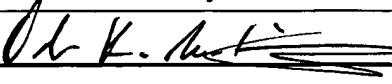
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim
109	84	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		.00

Complete if Known	
Application Number	09/998,855
Filing Date	November 15, 2001
Inventors	Agapiou et al.
Group Art Unit	1755
Examiner Name	Unassigned
Attorney Docket Number	2000U055.US

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge – late filing fee or oath	130.00
127	50	Surcharge – late provisional filing or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive – unavoidable	
141	1,280	Petition to revive – unintentional	
142	1,280	Utility issue fee (or reissue)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of information Disclosure Stmt.	
581	40	Recording each patent assignment per property (times number of properties)	
148	110	Statutory disclaimer	
Other fee (specify) * Reduced by Basic Filing Fee Paid			130.00
SUBTOTAL (3)			

Typed or Printed Name	Osborne K. McKinney	Reg. No.	40,084
Signature		Date	February 13, 2002